



Attorney's Docket No.: 09857-031001

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11/5/03

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Stuart Serkin et al.
Serial No. : 09/401,892
Filed : September 23, 1999
Title : LOCKED/CROSSED QUOTE HANDLING

Art Unit : 3627
Examiner : B. Jaketic

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Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

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NOTICE OF APPEAL

Applicants hereby appeals to the Board of Patent Appeals and Interferences from the action dated May 28, 2003, finally rejecting claims 1-22.

A petition for an extension of time under 37 CFR §1.136 to extend the time to respond to the final rejection for 5 months to and including October 28, 2003 is enclosed.

A check in the amount of \$330 for the appeal fee is enclosed. Please apply any other charges or credits to Deposit Account No. 06-1050.

Respectfully submitted,

Date: 10/27/03

[Signature]

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CERTIFICATE OF MAILING BY FIRST CLASS MAIL

I hereby certify under 37 CFR §1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

October 27, 2003
Date of Deposit

Susan R. Jones
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Susan R. Jones
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